TRADE WITH TREND

SEBI Research Analyst (RA)
Registration No: INH000015710
Registered Address: New Colony, Bindeshwari Path,
Hazaribagh, Jharkhand, 825301

MOST IMPORTANT TERMS & CONDITIONS (MITC)

I. Client Details

- Name of Client:
- Age:
- PAN:

II. Research Analyst Details

- Name: **Trade with Trend**
- **Registration No. INH000015710**
- Registered Office: New Colony, Bindeshwari Path, Hazaribagh, JHARKHAND, 825301
- Authorized Representative: **Mr. Prasenjit Sarkar**

MITC and Disclosures

1. Availing the Research Services
   By accepting the delivery of the research service, we confirm that we have voluntarily elected to subscribe to the research services of the Research Analyst (RA) at my sole discretion. we acknowledge that these services shall be rendered in accordance with the applicable provisions of the SEBI (Research Analyst) Regulations, 2014.

2. Obligations on RA
   The RA and we shall be bound by the SEBI Act and all applicable rules and regulations of SEBI, including the Research Analyst Regulations and relevant notifications issued from time to time.

3. Client Information and KYC
   I agree to furnish all required information and documents as mandated by SEBI/RAASB from time to time. I acknowledge that the RA shall collect, store, upload, and verify my KYC records with the KYC Registration Agency (KRA) as prescribed.

4. Standard Terms of Service
   I, _____ have read and understood the terms and conditions applicable to research analysts as defined under Regulation 2(1)(u) of the SEBI (Research Analyst) Regulations, 2014, including the fee structure.

I am subscribing to the research services for my own benefit and consumption, and any reliance placed on the research report provided by the RA shall be based on my own judgment and assessment of the conclusions therein.

I understand that:

- Any investment made based on the recommendations in the research report is subject to market risks.
- Recommendations in the research report do not provide any assurance of returns.
- There is no recourse to claim any losses incurred based on such recommendations.

5. Research Analyst's Declaration-

- The RA is duly registered with SEBI under the SEBI (Research Analyst) Regulations, 2014. (SEBI Registration No.: INH000015710, Registration Date: 21-03-2024).
- The RA possesses the required qualifications and complies with relevant legal provisions.
- The maximum fee charged by the RA is ₹1.51 lakhs per annum per family of clients.

6. The recommendations provided by RA do not provide any assurance of returns.

7. Consideration and Mode of Payment-
I agree to pay the applicable fees and statutory charges as per the agreed terms, such fees and statutory charges shall be payable through the specified manner and mode(s)/ mechanism (s).

8. Risk Factors-
1. Investments in securities market are subject to market risks. Read all the related documents carefully before investing.

2. Registration granted by SEBI and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors

3. We do not provide any guaranteed profit or fixed returns or any other services. We charge fixed fees and do not operate on any profit-sharing model.

4. Images if any, shared with you are for illustration purposes only.

5. We are not responsible for any financial loss or any other loss incurred by the client.

6. Please be fully informed about the risk and costs involved in trading and investing. Please consult your investment advisor before trading. Trade only as per your risk appetite and risk profile.

7. Trading/investing in stock market is risky due to its volatile nature. Upon accepting our service, you hereby accept that you fully understand the risks involved in trading/investing.

8. We advise the viewers to apply own discretion while referring testimonials shared by the client. Past performances and results are no guarantee of future performance.

9. All Report or recommendations shared are confidential and for the reference of paid members only. Any unapproved distribution of sensitive data will be considered as a breach of confidentiality and appropriate legal action shall be initiated.

10. The Research Report or recommendations must not be used as a singular basis of any investment decision. The views do not consider the risk appetite or the particular circumstances of an individual investor; readers are requested to take professional advice before investing and trading. Our recommendations should not be construed as investment advice.

11. Reports based on technical and derivative analysis centre on studying charts of a stock's price movement, outstanding positions and trading volume, as opposed to focusing on a company's fundamentals and, as such, may not match with a report on a company's fundamentals.

III. Conflict of Interest

The particulars given in this Disclosure Document have been prepared in accordance with SEBI (Research Analyst) Regulations, 2014.The purpose of the Document is to provide essential information about the Research and recommendation Services in a manner to assist and enable the perspective client/client in making an informed decision for engaging in Research and recommendation services before investing. For the purpose of this Disclosure Document, Research Analyst is Mr Prasenjit Sarkar (here in referred as "Research Analyst")

IV. Descriptions about "Research Analyst"

Terms and conditions of Research and Recommendation Services are detailed in the terms and condition document. Please refer to the same for details.

No penalties/directions have been issued by SEBI under the SEBI Act or Regulations made there under against the Research Analyst relating to Research Analyst services.

There are no pending material litigations or legal proceedings, findings of inspections or investigations for which action has been taken or initiated by any regulatory authority against the Research Analyst or its employees.

V. Details of its associates

No associates

VI. Disclosures with respect to Research and Recommendations Services

Research Analyst may have financial interest or actual/beneficial ownership in the securities recommended in its personal portfolio. Details of the same may be referred through the disclosures made at the time of advice.

There are no actual or potential conflicts of interest arising from any connection to or association with any issuer of products/securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of Research Analyst services. Such conflict of interest shall be disclosed to the client as and when they arise.

Research Analyst or its employee or its associates have not received any compensation from the subject company in past 12 months.

Research Analyst or its employee or its associates have not managed or co-managed the public offering of Subject Company in past 12 months.

Research Analyst or its employee or its associates have received any compensation for investment banking or merchant banking of brokerage services from the subject company in past 12 months.

Research Analyst or its employee or its associates have not received any compensation for products or services other than above from the subject company in past 12 months.

Research Analyst or its employee or its associates have not received any compensation or other benefits from the Subject Company or 3rd party in connection with the research report/recommendation.

The subject company was not a client of Research Analyst or its employee or its associates during twelve months preceding the date of distribution of the research report and recommendation services provided.

Research Analysts or its employee or its associates has not served as an officer, director or employee of the subject company.

Research Analysts has not been engaged in market making activity of the subject company.

The RA agrees to comply with all applicable SEBI regulations, circulars, and directions concerning the disclosure and mitigation of any actual or potential conflicts of interest.

VII. Termination of Service and Refund of Fees

RA may suspend or terminate rendering of research services to client on account of suspension/ cancellation of registration of RA by SEBI and shall refund the residual

amount to the client

In case of suspension of certificate of registration of the RA for more than 60 (sixty) days or cancellation of the RA registration, RA shall refund the fees, on a pro rata basis for the period from the effective date of cancellation/ suspension to end of the subscription period

VIII. Additional Clauses

The RA reserves the right to introduce additional voluntary clauses that comply with SEBI's rules, regulations, and circulars. Any changes to such clauses will be notified to the Client with a 15-day notice.

IX. Mandatory Notice

The Client acknowledges the receipt and understanding of the "Do's and Don'ts" as specified in SEBI Master Circular SEBI/HO/MIRSD-POD1/P/CIR/2024/49 dated May 21, 2024 or as may be revised by SEBI from time to time.