TRADE WITH TREND

SEBI Research Analyst (RA)
Registration No: INH000015710
Registered Address: New Colony, Bindeshwari Path,
Hazaribagh, Jharkhand, 825301

INVESTOR CHARTER

I. Vision & Mission

Vision: Invest with knowledge and safety.

Mission: To help investors access clear, research-based market information and make informed decisions while understanding the risks associated with securities-market investments.

II. About Trade with Trend

Trade With Trend is a SEBI-registered Research Analyst providing research services and investment research recommendations. The firm's stated research focus under this Charter is spread across Equity, Futures & Options (Equity & Indices) including intraday and positional research recommendations, subject to the scope of the applicable service plan and the terms agreed with the client.

SEBI Registration No.: INH000015710

BSE Enlistment No.: 6110

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Principal / Compliance Contact: Prasenjit Sarkar (+91 6900088125)

III. Services Provided

Research reports and research-based recommendations on securities within the firm's stated research universe.

Equity intraday & positional recommendations, where offered under the applicable plan.

Equity & Index options recommendations, where offered under the applicable plan.

Research commentary, market observations and updates relating to published recommendations, where included in the client's agreed service.

Research-based information derived from publicly available information, company disclosures, market data, analysis and other sources considered relevant by the Research Analyst, where offered under the applicable plan.

Trade With Trend does not provide personalized investment advice merely by virtue of being a Research Analyst. Clients remain responsible for evaluating whether a recommendation is appropriate for their own circumstances, risk capacity, financial objectives and investment horizon.

IV. What Investors Receive

Clear identification of the security and recommendation direction, where applicable.

Entry/trigger or price reference, stop-loss/invalidation condition and target(s), where applicable to the recommendation.

Indicative validity or investment horizon.

Research rationale and relevant analytical basis.

Key risks and material assumptions considered by the Research Analyst.

Applicable disclosures and disclaimers.

V. Investor Rights

To receive fair, transparent and professional research services in accordance with the applicable SEBI framework and the agreed terms and conditions.

To receive the terms and conditions of research services and provide consent before research services are rendered or fees are charged, where such consent is required.

To receive prescribed disclosures relating to the Research Analyst, conflicts/financial interests and the nature of the research service.

To receive information about applicable fees, payment terms, refund provisions and other material service conditions.

To seek clarification about the scope and nature of the research service.

To raise complaints through the firm's grievance mechanism and, where applicable, through SEBI SCORES and the Online Dispute Resolution mechanism.

To receive confidentiality and appropriate protection of information, subject to legal and regulatory requirements.

To receive the Investor Charter as part of onboarding and through the firm's website/other permitted channels.

VI. Investor Responsibilities

Provide complete and accurate KYC and contact information and keep such information updated.

Read the research report, terms and conditions, MITC and disclosures before subscribing to or using the research service.

Independently assess risk and suitability before acting on any recommendation.

Use only the information and recommendations provided through authorized Trade with Trends channels.

Do not share paid/subscriber-only research material where such sharing is prohibited by the service terms.

Maintain the confidentiality of login credentials and promptly report unauthorized access.

Understand that market prices can move adversely and that a stop-loss or target is not a guarantee of execution or return.

Keep records of payments, communications and service documents for their own reference.

VII. Risks Associated with Research Recommendations

Equity markets are subject to market, liquidity, volatility, company-specific, sectoral, macroeconomic, regulatory and event risks.

Intraday recommendations carry high execution, volatility and slippage risk. Stop-loss levels may not always execute at the exact specified price.

Positional recommendations may remain exposed to overnight, gap, corporate-action and news risks.

Small/mid-cap securities may experience lower liquidity and higher price volatility than larger companies.

Targets and expected horizons are analytical estimates, not assurances.

Research based on publicly available information can become outdated as new information emerges.

VIII. Fees and Service Terms

Fees, subscription period, number/nature of research communications, renewal, termination, refund and other commercial terms are governed by the terms and conditions/MITC accepted by the client. Clients should carefully review the applicable plan before making payment. The firm will not represent that a particular number of recommendations will necessarily be issued where market conditions do not justify a recommendation; any indicative call count must be understood subject to the service terms.

IX. Conflicts of Interest and Disclosures

Trade With Trend will maintain and disclose conflicts of interest and financial interests as required by the applicable SEBI framework. The firm will maintain internal controls concerning personal trading and other potential conflicts involving research personnel.

Where a material conflict exists, the applicable disclosure and mitigation process will be followed. Investors should read the disclosures accompanying each research report or communication.

X. Use of Artificial Intelligence

If Trade with Trend uses Artificial Intelligence tools in providing research services, the firm remains responsible for the security, confidentiality and integrity of client data and for compliance with applicable law. The extent of AI use will be disclosed to clients in the manner required by the applicable SEBI framework and the firm's terms and conditions.

XI. Grievance Redressal Mechanism

Trade With Trends will maintain an internal grievance mechanism and will endeavor to address investor grievances within the timelines prescribed under the applicable regulatory framework.

Investor may first submit a written complaint to Trade with Trends using the authorized contact details published on the firm's website.

If the grievance is not resolved satisfactorily, the investor may use SEBI's SCORES platform, subject to the applicable procedure.

Where applicable, the investor may use the Online Dispute Resolution mechanism for resolution of disputes in the Indian securities market.

Investors should retain the complaint reference number, correspondence and supporting documents.

The firm will maintain complaint records and publish complaint data on its website in the format and within the timelines prescribed by SEBI/RAASB.

XII. Complaint / Contact Details

Trade With Trend
Email: tradewithtrend78@gmail.com
Phone: +91 9508143740 / 69000 88125
Website: https://www.tradewithtrend.in/
Registered / Correspondence Address: New Colony, Bindeshwari path, Hazaribagh, Jharkhand, PIN – 825301

XIII. Record Keeping and Confidentiality

Trade With Trends will maintain records required under the applicable SEBI framework, including KYC records, client/prospective-client interaction records, research reports and documentary basis, complaints and compliance records. Records will be retained for the prescribed period and longer where required due to a dispute or regulatory direction.

Information provided by investors will be handled confidentially and used for legitimate service, compliance and regulatory purposes, subject to applicable law and lawful disclosures.

XIV. Regulatory Reference

This Charter has been structured with reference to the SEBI Master Circular for Research Analysts dated 06 February 2026, including Annexure D (Investor Charter for RAs), the SEBI (Research Analysts) Regulations, 2014 as amended, and applicable SEBI/RAASB directions. It should be reviewed whenever SEBI or RAASB issues an amendment, circular, clarification or updated format.